

**4 November 2003**  
Geneva  
Switzerland

**Product and  
Management  
System  
Certification  
in Developing  
countries and  
countries in  
transition**



# CASCO/DEVCO Workshop on Product and Management System Certification in

## Developing Countries and Countries in Transition

**4 November 2003**

Geneva, Switzerland

Ground floor, World Meteorological Organization (WMO)

7 bis, avenue de la Paix, Geneva

The target audience are the members and liaison organizations of the ISO Committees on conformity assessment (CASCO) and developing country matters (DEVCO). In addition to representatives from national standards bodies, the audience may include senior management from certification and accreditation organisations in developing countries and countries in transition and, through the liaison members of the above committees, will also include managers from industry and multinational conformity assessment service providers.

The aim of the workshop is to educate. It is not a promotion of one form of conformity assessment over another. At the end of the workshop the audience should be able to go away with an improved understanding of the relative need, types, and possible service delivery options for certification activities in developing countries and countries in transition. The audience will also be brought up to date with the relevant ISO/IEC conformity assessment standards and guides that are currently published or being prepared or revised.

There are a number of methods for assessing the conformity of products and management systems to the requirements of standards. Two well-known examples are supplier's declarations of conformity and third-party certification. In response to CASCO member discussions at the 2002 Plenary, this workshop will focus on the second of these options. Speakers will talk about situations in which certification is voluntary or mandatory, and will put certification into perspective against the other alternatives to conformity assessment that exist.

Certification of products is a significant issue in enabling developing countries and countries in transition to participate in the global trading environment. The degree to which the international conformity assessment standards and guides are used by either the exporting organization or importing destination can influence market access and trading opportunities.

Certification of management systems (QMS and EMS) is increasing in the developing countries and in countries in transition. These certifications

originate from a variety of sources, including multinational certification service companies, state monopolies and local certification service providers and, in some cases, ISO member bodies themselves. Speakers will explore what is the likely future scenario for management systems certification.

This workshop will also include an opportunity for workshop participants to discuss the following hot topics in small groups from the perspective of developing countries and countries in transition:

- the future of mutual recognition of certifications
- certification of greenhouse gas emissions
- certification activities by ISO members



## Tuesday, 4 November 2003

08:30 Registration and morning coffe/tea

### MORNING SESSION Introduction to certification

Chair: *Mrs. Maureen Mutasa*

*Chair of the ISO committee on developing country matters (ISO/DEVCO)*

**09:00** The contribution of conformity assessment to sustainable development and trade

*Mr. Alan Bryden*  
*ISO Secretary-General*

**09:20** Conformity assessment within selected World Trade Organization Agreements

*Mr. Juan Antonio Dorantes*  
*Chairman of the WTO TBT Committee*  
*Counsellor, Permanent Mission of Mexico, Geneva*  
Overview of conformity assessment in WTO Agreements, including TBT and SPS.

**09:40** Options for provision of certification services in developing countries and in countries in transition – using nationally based certification

*Mr. Fabio Tobón*  
*Executive Director, Colombian Institute of Technical Standards and Certification (ICONTEC)*  
Overview of the certification activities of ICONTEC, including the gaining of international recognition for ICONTEC certificates.

**10:05** Options for provision of certification services in developing countries and in countries in transition – successful development of national capability

*Mr. Azim Ng Abdullah*  
*Senior General Manager – Certification, Inspection and Corporate, SIRIM QAS International Sdn Berhad (Malaysia)*  
Overview of SIRIM QAS International Sdn Berhad, including the gaining of international recognition for its certification activities.

**10:30** Options for provision of certification services in developing countries and in countries in transition – using multinational companies

*Mr. Francis Lacroze*  
*Executive Vice-President, Systems and Services Certification, SGS, Société Générale de Surveillance Group, Geneva*  
Certification in developing countries and in countries in transition from a multinational certification company perspective.

**10:55** Questions and answers

11:15 Break

### Management systems certification

**11:35** The importance of management systems certification to sustainable economic development and market access

*A representative of the International Federation of Standards Users (IFAN)*  
Speaker to present the view that ISO 9001 and ISO 14001 are not, and should not be, important for mandatory trade access requirements, but that between voluntary commercial players it does have a role in assisting sustainable development.

**11:55** The importance of management systems certification

*Mr. Rafael Rodriguez*  
*Technical Manager, Instituto Mexicano de Normalización y Certificación (DGN/INMC)*  
Presentation of case example(s) of the importance of management systems certification for gaining supply contracts.

**12:15** CASCO standards and guides related to management systems certification

*Mr. Alister Dalrymple*  
*Director – International, AFAQ Group, and*  
*Mr. Randy Dougherty*  
*Director of Registrar Programs, ANSI-RAB*  
CASCO WG21 Co-convenors

Explanation of the relationship between ISO 9001, ISO 14001, ISO 19011, ISO/IEC Guides 23, 27, 62 and 66 and recent developments in WG21 on ISO/IEC CD2 17021.

**12:35** Questions and answers

**12:50** Morning session Chair, *Mrs. Maureen Mutasa*, will summarize the morning session

13:00 Lunch

## AFTERNOON SESSION

### Product certification

Chair: **Mr. Mario O. Wittner**

*Chair of the ISO committee on conformity assessment (ISO/CASCO)*

#### 14.00 Product conformity assessment requirements for access to the European market

**Mr. Brian Jenkinson**, Deputy Head of Unit, Regulatory co-ordination and simplification, Mutual Recognition Unit, European Commission

Summary of the European legal framework and provisions for notified bodies and acceptance of certified imports into the European Union.

#### 14.25 Product conformity assessment requirements for access to the US market

**Mr. Lane Hallenbeck**  
Vice-President, Conformity Assessment, American National Standards Institute (ANSI)

Overview of the some of the types of voluntary and mandatory product certification regimes in the United States.

#### 14.50 Ugandan fisheries exports

**Mr. Samuel G. L. Balagadde**,  
Ag. Head Technical Liaison Division, Uganda National Bureau of Standards (UNBS)

An analysis of certification issues surrounding the export of Ugandan fishery products – lessons for product certification services within developing countries and countries in transition.

#### 15.10 Safety of electrical products and the IEC schemes

**Mr. Don Gray**  
Chairman of the IEC Conformity Assessment Board  
Certification as a tool for ensuring product safety – an overview the 3 IEC schemes.

#### 15.30 CASCO standards and guides related to product certification

**Mr. James Beyreis**  
Convenor of CASCO WG 14, Product certification, Vice-President and Chief Technical Officer, Underwriters Laboratories Inc. (UL)  
Explanation of the relationships between Guides 65, 28, 67 and 53.

#### 15.45 Questions and answers

**16.05** Afternoon session Chair, **Mr. Mario O. Wittner**, will summarize the afternoon session

**16:15 Afternoon break**

## BREAK-OUT SESSIONS

These sessions will run in parallel and attendees are to choose one of the sessions to attend

### 16.30 Break-out session A

**The impact of accreditation and mutual recognition on the future certification market**

**Convenor – Dr. Thomas Facklam**  
Chairman, International Accreditation Cooperation

Dr. Facklam will lead a discussion on the future of product and management system certification services within the context of mutual recognition and cross-border accreditation, including what aspects should developing countries and countries in transition be considering to ensure adequate access to effective certification services at the national level in the future.

### 16.30 Break-out session B

**Certification and greenhouse gas emissions**

**Convenor – Dr. Geoff Visser**  
South African Bureau of Standards (SABS)

Dr. Visser will lead discussion on the work of ISO/TC 207 WG5, *Climate change*, and the development of international standards on greenhouse gas emissions, including their measurement, verification, validation and certification.

### 16.30 Break-out session C

**Certification activities related to ISO member bodies**

**Convenor – Mr. Olivier Peyrat**  
Director-General, AFNOR

Mr. Peyrat will lead a discussion on how an ISO body that undertakes certification activities can make the most of that situation and gain maximum return on investment.

**17:10 Break**

**17.20** Session Chairs and Convenors will report on the outcomes and any recommendations to CASCO based on the day's discussions.

**17:40 Close**